

AN ANALOGUE OF THE RADON-NIKODYM PROPERTY FOR NON-LOCALLY CONVEX QUASI-BANACH SPACES

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1. Introduction

In recent years there has been considerable interest in Banach spaces with the Radon-Nikodym Property; see (1) for a summary of the main known results on this class of spaces. We may define this property as follows: a Banach space X has the Radon-Nikodym Property if whenever $T \in \mathcal{L}(L_1, X)$ (where $L_1 = L_1(0, 1)$) then T is differentiable i.e.

$$Tf = \int_0^1 f(x)g(x) dx$$

where $g : (0, 1) \rightarrow X$ is an essentially bounded strongly measurable function.

In this paper we examine analogues of the Radon-Nikodym Property for quasi-Banach spaces. If $0 < p < 1$, there are several possible ways of defining “differentiable” operators on L_p , but they inevitably lead to the conclusion that the only differentiable operator is zero. For example, a differentiable operator on L_1 has the Dunford-Pettis property; operators on L_1 with the Dunford-Pettis property map the unit ball of L_∞ to a compact set (cf (12)). However any operator on L_p ($p < 1$) with this property is zero (4).

Thus we define a quasi-Banach space X to be p -trivial if $\mathcal{L}(L_p, X) = \{0\}$. The concept of p -triviality is then hoped to be an analogue of the Radon-Nikodym property amongst locally p -convex quasi-Banach spaces. It turns out that this hope is fulfilled to some extent. Our main results in Sections 4 and 5 demonstrate an analogue of Edgar’s theorem (2) and of the Phelps characterisation of the Radon-Nikodym Property ((1), (9)) to this setting. Precisely we show that a locally p -convex quasi-Banach space is p -trivial if and only if every closed bounded p -convex set is the closed p -convex hull of its “strongly p -extreme points”. Our analogue of Edgar’s theorem is that if C is a bounded closed p -convex subset of a p -trivial quasi-Banach space then every $x \in C$ may be represented in the form

$$x = \sum_{n=1}^{\infty} a_n u_n$$

where $a_n \geq 0$, $\sum a_n^p = 1$, and each u_n is a p -extreme point of C . We observe in this connection that a similar Choquet-type theorem for compact p -convex sets was proved in (5).

In our final Section 6 we briefly discuss the associated super-property. Here there

is a slight divergence between the Radon-Nikodym Property for Banach spaces and p -triviality for quasi-Banach spaces. A Banach space with the super-Radon-Nikodym property is super-reflexive (11); thus there is a space X such that ℓ_1 is not finitely representable in X but which fails the Radon-Nikodym Property (3). However if ℓ_p ($0 < p < 1$) is not finitely representable in a quasi-Banach space then it is p -trivial.

2. Notation

A quasi-norm on a real vector space X is a map $x \mapsto \|x\|$ such that

- (1) $\|x\| > 0$ if $x \neq 0$.
- (2) $\|tx\| = |t| \|x\|$ $t \in \mathbf{R}, x \in X$.
- (3) $\|x + y\| \leq k(\|x\| + \|y\|)$ $x, y \in X$.

where k is the modulus of concavity of the quasi-norm. If $k = 1$, $\|\cdot\|$ is a norm. In general the quasi-norm is r -subadditive ($0 < r \leq 1$) if

- (4) $\|x + y\|^r \leq \|x\|^r + \|y\|^r$ $x, y \in X$.

The sets $\{x : \|x\| < \alpha\}$ define the base of neighbourhoods for a Hausdorff vector topology on X . If X is complete, we say that X is a *quasi-Banach space*; if the quasi-norm is also r -subadditive then X is an *r -Banach space*.

The Aoki-Rolewicz theorem (10, p. 57) asserts that every quasi-norm is equivalent to a quasi-norm which is r -subadditive for some $r > 0$. Here $\|\cdot\|$ and $\|\cdot\|^*$ are *equivalent* if there exists $0 < m \leq M < \infty$ such that

$$m\|x\| \leq \|x\|^* \leq M\|x\| \quad x \in X.$$

A subset C of X is p -convex (where $0 < p \leq 1$) if given $x, y \in C$ and $0 \leq a, b \leq 1$ with $a^p + b^p = 1$, then $ax + by \in C$. Observe that if $0 < p < 1$ and C is a closed p -convex set then C contains 0. We say that X is (locally) p -convex if there is a bounded p -convex neighbourhood of zero; this is equivalent to the existence of an equivalent p -subadditive quasi-norm on X .

If C is a p -convex subset of X then a point x of C is p -extreme if $x = a_1x_1 + a_2x_2$ with $x_1, x_2 \in C$ and $0 < a_1, a_2 < 1$, $a_1^p + a_2^p = 1$ implies that $x = x_1 = x_2$.

A point $x \in C$ is *strongly p -extreme* if whenever $y_n, z_n \in C$, $0 \leq a_n, b_n \leq 1$, $a_n^p + b_n^p = 1$ and $a_ny_n + b_nz_n \rightarrow x$ then $\max(a_n, b_n) \rightarrow 1$. According to our definition 0 is never strongly p -extreme, although it may well be p -extreme. We regard strongly p -extreme points as an analogue of denting points.

The set of p -extreme points of C is denoted $\partial_p C$. If A is any set its p -convex hull is denoted by $\text{co}_p A$ and its closed p -convex hull by $\overline{\text{co}_p A}$.

3. p -trivial spaces

We define a quasi-Banach space X to be p -trivial ($0 < p < 1$) if $\mathcal{L}(L_p, X) = \{0\}$, where $L_p = L_p(0, 1)$. As we observed in the introduction, this is the appropriate generalisation, to the case $p < 1$, of the Radon-Nikodym property for Banach spaces. In this section, we observe some examples of p -trivial quasi-Banach spaces.

Theorem 3.1. *Suppose X satisfies either of the following conditions:*

(a) *For any closed infinite-dimensional subspace Y of X there exists $q > p$ and a q -convex quasi-Banach space Z such that $\mathcal{L}(Y, Z) \neq \{0\}$.*

(b) *For any closed infinite-dimensional subspace Y of X there exists an F -space and a non-zero compact linear operator $T: Y \rightarrow Z$.*

Then X is p -trivial.

Proof. We prove only (b). Suppose $S \in \mathcal{L}(L_p, X)$ and $S \neq 0$. Then since $L_p^* = \{0\}$, $Y = \overline{S(L_p)}$ is infinite-dimensional. Let $T: Y \rightarrow Z$ be a non-zero compact operator on Y . Then TS is a non-zero compact operator on L_p , contradicting the results of (4).

A quasi-Banach space X is *pseudo-dual* if there exists a Hausdorff vector topology τ on X such that the unit ball of x is relatively compact (cf (8)).

Theorem 3.2. *Let X be a p -trivial quasi-Banach space and let Y be a closed subspace of X which is either q -convex for some $q > p$ or isomorphic to a pseudo-dual space. Then X/Y is p -trivial.*

Proof. In either case a linear operator $S: L_p \rightarrow X/Y$ may be lifted to a linear operator $\tilde{S}: L_p \rightarrow X$ (see (8)).

Theorem 3.3. *Let X be a quasi-Banach space, and let Y be a closed p -trivial subspace of X such that X/Y is p -trivial.*

Then X is p -trivial.

Proof. Immediate.

Theorem 3.4. *Let X be a quasi-Banach space which possesses no infinite-dimensional subspace isomorphic to a Hilbert space. Then X is p -trivial.*

Proof. This is immediate from (4) Theorem 3.4.

The author has recently constructed a non- p -trivial space which is p -convex, but contains no copy of L_p ; details will appear elsewhere.

Theorem 3.5. *Suppose X is a subspace of L_p . Then X is p -trivial if and only if X has no subspace isomorphic to L_p .*

Proof. By the results of (6), if $T \in \mathcal{L}(L_p, L_p)$ and $T \neq 0$, there is a subspace Y of L_p , such that $Y \cong L_p$ and $T|_Y$ is an isomorphism.

4. Edgar's theorem for p -trivial spaces

Our first main result generalises Edgar's theorem (2) on Banach spaces with the Radon-Nikodym property.

Theorem 4.1. *Suppose $0 < p < 1$ and that X is a p -trivial quasi-Banach space.*

Suppose C is a closed bounded p -convex subset of X and that $x \in C$. Then there exists a sequence $u_n \in \partial_p C$ and $a_n \geq 0$ such that $\sum a_n^p \leq 1$ and

$$x = \sum_{n=1}^{\infty} a_n u_n.$$

Proof. We shall assume the contrary and produce a contradiction. Let $\mathcal{B}$ be the σ -algebra of Borel subsets of $[0, 1]$. For a sub- σ -algebra $\mathcal{A}$ of $\mathcal{B}$ let $L_p(\mathcal{A})$ denote the closed subspace of $L_p[0, 1] = L_p(\mathcal{B})$ of all $\mathcal{A}$ -measurable functions. Let Ω denote the first uncountable ordinal. We shall construct, by transfinite induction, an increasing transfinite sequence of σ -algebras $\mathcal{B}_\alpha$ ($1 \leq \alpha < \Omega$) and of linear operators $T_\alpha : L_p(\mathcal{B}_\alpha) \rightarrow X$ such that

(1) $\mathcal{B}_1 = \{[0, 1], \phi\}$ and $T_1(c \cdot 1) = cx$ where 1 denotes the characteristic function of $[0, 1]$.

(2) If $\alpha < \beta$ and $f \in L_p(\mathcal{B}_\alpha)$ then $T_\beta f = T_\alpha f$.

(3) If $f \in L_p(\mathcal{B}_\alpha)$, $f \geq 0$ and $\|f\|_p \leq 1$ then $Tf \in C$.

(4) If $\epsilon_\alpha = \inf\{\sum_{n=1}^{\infty} \lambda(B_n)^{1/p} : B_n \in \mathcal{B}_\alpha; \bigcup_{n=1}^{\infty} B_n = [0, 1]\}$ then $\{\epsilon_\alpha : 1 \leq \alpha < \Omega\}$ is strictly decreasing.

Of course if we can satisfy (1), (2), (3), (4) then we have an immediate contradiction since any well-ordered subset of $\mathbf{R}$ is countable.

Define $\mathcal{B}_1, T_1$ as above. Now suppose $1 < \alpha < \Omega$ and that $\mathcal{B}_\beta, T_\beta$ have been defined for $\beta < \alpha$. If α is a limit ordinal, let $\mathcal{B}_\alpha$ be the σ -algebra generated by $\bigcup(\mathcal{B}_\beta : \beta < \alpha)$. Since

$$\|T_\beta\| \leq 2k \sup_{y \in C} \|y\| \quad \beta < \alpha$$

we can define T_α to be the unique extension of each T_β to $L_p(\mathcal{B}_\alpha)$. Then conditions (2), (3), (4) are immediate.

Next suppose $\alpha = \gamma + 1$. Let $(B_j : j \in J)$ be a maximal family of disjoint atoms of $\mathcal{B}_\gamma$, i.e., $\lambda(B_j) > 0$ and $B \in \mathcal{B}_\gamma$, $B \subset B_j$ implies either $\gamma(B) = \lambda(B_j)$ or $\lambda(B) = 0$. J is at most countable; let $B^* = [0, 1] \setminus \bigcup_j B_j$. Since X is p -trivial we have $T_\gamma|_{L_p(B^*, \mathcal{B}_\gamma)} = 0$.

Let $a_j = \lambda(B_j)^{1/p}$ and $v_j = a_j^{-1} T_1 1_{B_j}$ ($j \in J$). Then

$$x = T_\gamma(1) = \sum_{j \in J} a_j v_j.$$

Hence by assumption there exists i such that $v_i \notin \partial_p C$ i.e.,

$$v_i = su + tw$$

where $u, w \in C$, $s, t > 0$ and $s^p + t^p = 1$.

Choose $A \in \mathcal{B}$ such that $A \subset B_i$, and $\lambda(A) = (sa_j)^p$.

Let $\mathcal{B}_\alpha$ be the σ -algebra generated by adjoining A to $\mathcal{B}_\gamma$. Extend T_γ by defining

$$T_\alpha 1_A = sa_j u.$$

Then

$$T_\alpha 1_{B_i \setminus A} = ta_j w$$

and conditions (2), (3) follow easily. For (4), observe that

$$\epsilon_\gamma = \sum_{j \in J} a_j$$

while

$$\epsilon_\alpha = \sum_{j \neq i} a_j + (s + t)a_i < \epsilon_\gamma.$$

This completes the proof.

Remark. It is easy, given Theorem 4.1, to modify the representation of x so that $\sum a_n^p = 1$. This follows from the fact that $0 \in C$ (see (5) for the details).

5. Geometric characterisations of p -trivial spaces

Suppose that C is a bounded p -convex set with 0 as an interior point (this implies that X is p -convex). Denote by C_0 the interior of C . Then if $x \in C$ and $0 \leq t < 1$, $tx \in C_0$. Let us define a function $\varphi: C_0 \rightarrow \mathbf{R}$ by

$$\varphi(x) = \inf \sum_{n=1}^{\infty} a_n$$

where the infimum is taken over all non-negative series $\sum a_n$ such that $\sum a_n^p = 1$ and there exist $u_n \in C_0$ with

$$x = \sum_{n=1}^{\infty} a_n u_n$$

Let us observe that the infimum may be taken instead over all non-negative series $\sum a_n$ such that $\sum a_n^p \leq 1$ and

$$x = \sum_{n=1}^{\infty} a_n u_n.$$

For if

$$x = \sum_{n=1}^{\infty} a_n u_n$$

where $a_n \geq 0$ and $\sum a_n^p \leq 1$, then for any N , we may write

$$x = \sum_{n=1}^{\infty} a_n u_n + \alpha(0 + 0 + \cdots + 0)$$

where $\alpha^p = N^{-1}(1 - \sum a_n^p)$, and there are N zero terms. Thus

$$\begin{aligned} \varphi(x) &\leq \sum a_n + N\alpha \\ &= \sum a_n + N^{1-1/p} \left(1 - \sum a_n^p\right)^{1/p}. \end{aligned}$$

Letting $N \rightarrow \infty$ we see that

$$\varphi(x) \leq \sum a_n$$

For $x \in C$, we define

$$\varphi_*(x) = \liminf_{y \rightarrow x} \varphi(y).$$

$$\varphi^*(x) = \limsup_{y \rightarrow x} \varphi(y).$$

Thus φ_* is lower-semi-continuous and φ^* is upper-semi-continuous on C and $\varphi_* \leq \varphi^*$. Let

$$V = \{x \in C : \varphi^*(x) = 1\}$$

$$W = \{x \in C : \varphi_*(x) = 1\}.$$

Then V is closed and W is a G_δ -set; also $W \subset V$. Clearly any member of W is strongly p -extreme for C .

The following lemmas prepare our main theorem. We assume that X is p -trivial.

Lemma 5.1. *If $x \in C_0$, there exist $v_m \in V$ and $a_m \geq 0$ such that $\sum a_m^p \leq 1$ and $\sum a_m v_m = x$.*

Proof. (cf. Theorem 4.1). Suppose $x \in C_0$. Let $\mathcal{B}_1 = \{(0, 1), \phi\}$ and define $T_1: L_p(\mathcal{B}_1) \rightarrow X$ by $T_1(c \cdot 1) = cx$. By induction we construct an increasing sequence of atomic sub- σ -algebras $\mathcal{B}_n$ of $\mathcal{B}$ and a sequence of linear operators $T_n: L_p(\mathcal{B}_n) \rightarrow X$ such that

- (1) $T_{n+1}|_{L_p(\mathcal{B}_n)} = T_n$, $n \geq 2$,
- (2) $T_n\{f: f \in L_p(\mathcal{B}_n); f \geq 0, \|f\|_p \leq 1\} \subset C_0$.

Indeed suppose $\mathcal{B}_n$ has atoms $(B_j^n: j \in J)$ where J is at most countable. Let $b_j = \lambda(B_j^n)^{1/p}$, $j \in J$ and

$$u_j = b_j^{-1} T_n 1_{B_j^n}.$$

Then $u_j \in C_0$. Then write

$$u_j = \sum_{i=1}^{\infty} a_{ij} w_{ij}$$

where $w_{ij} \in C_0$, $\sum a_{ij}^p = 1$

$$\sum_{i=1}^{\infty} a_{ij} \leq \frac{1}{2}(1 + \varphi(u_j))$$

(the sum may, of course, be finitely non-zero). Split each B_j^n into atoms $\{B_{ij}^n: i = 1, 2, \dots\}$ where $\lambda(B_{ij}^n) = a_{ij}^p b_j^p$. Let $\mathcal{B}_{n+1} = \sigma\{B_{ij}^n: j \in J, i = 1, 2, \dots\}$ and define T_{n+1} on $L_p(\mathcal{B}_{n+1})$ so that

$$T_{n+1} 1_{B_{ij}^n} = b_j^{-1} a_{ij}^{-1} w_{ij}.$$

It is easy to verify the conditions.

Now let $\mathcal{B}_\infty = \sigma(\bigcup_{n=1}^{\infty} \mathcal{B}_n)$ and let T be the unique continuous extension of each T_n to $L_p(\mathcal{B}_\infty)$. Then $\mathcal{B}_\infty$ has atoms $\{B_j: j \in J_\infty\}$ and since X is L_p -trivial

$$x = \sum_{j \in J_\infty} a_j v_j$$

where $a_j = \lambda(B_j)^{1/p}$ and $v_j = a_j^{-1} T 1_{B_j}$. Clearly $v_j \in C$ and $\sum a_j^p \leq 1$. It remains to show that $v_j \in V$.

For each n , let A_j^n be the atom of $\mathcal{B}_n$ including B_j . Then $\bigcap_n A_j^n = B_j$; let

$$z_j^n = \lambda(A_j^n)^{-1/p} T 1_{A_j^n}.$$

Then $z_j^n \in C_0$ and $z_j^n \rightarrow v_j$. Now for each n ,

$$\frac{1}{2}(1 + \varphi(z_j^n)) \geq (\lambda(B_j)/\lambda(A_j^n))^{1/p}$$

and hence $\varphi(z_j^n) \rightarrow 1$. Thus $v_j \in V$.

Since X is necessarily p -convex we can assume that the norm on X is p -subadditive. We also choose $\delta > 0$ such that $\{x : \|x\| \leq \delta\}$ is contained in C .

Lemma 5.2. *Suppose $x \in C_0$ and $0 \leq t < 1$. Then*

$$\varphi(tx) \leq t\varphi_*(x).$$

Proof. Suppose $\epsilon > 0$, and

$$\epsilon \leq \delta t^{-1}(1 - t^p)^{1/p}.$$

Then there exists u , $\|u\| < \epsilon$ such that $x - u \in C_0$ and

$$\varphi(x - u) < \varphi_*(x) + \epsilon.$$

Hence

$$x - u = \sum_{n=1}^{\infty} a_n x_n$$

where $x_n \in C_0$, $a_n \geq 0$, $\sum a_n^p = 1$ and

$$\sum a_n \leq \varphi_*(x) + \epsilon.$$

Thus

$$tx = \sum_{n=1}^{\infty} t a_n x_n + \frac{t\epsilon}{\delta} \left(\frac{\delta u}{\epsilon} \right)$$

and

$$\sum t^p a_n^p + t^p \epsilon^p \delta^{-p} \leq 1.$$

By the remark at the beginning of the section,

$$\begin{aligned} \varphi(tx) &\leq t \left(\sum a_n \right) + t\epsilon\delta^{-1} \\ &\leq t(\varphi_*(x) + \epsilon) + t\epsilon\delta^{-1}. \end{aligned}$$

Now let $\epsilon \rightarrow 0$,

$$\varphi(tx) \leq t\varphi_*(x).$$

Lemma 5.3. *Suppose $x_n \in C$, $a_n \geq 0$ and $\sum a_n^p \leq 1$. Then*

$$\varphi_*\left(\sum_{n=1}^{\infty} a_n x_n\right) \leq \sum_{n=1}^{\infty} a_n \varphi_*(x_n).$$

Proof. For $\epsilon > 0$, there exist $u_n \in C_0$ such that

$$\|x_n - u_n\| \leq \epsilon.$$

and

$$u_n = \sum_{k=1}^{\infty} c_{nk} v_{nk}$$

where $v_{nk} \in C_0$, $c_{nk} \geq 0$, $\sum c_{nk}^p \leq 1$ and

$$\sum_k c_{nk} \leq \varphi_*(x_n) + \epsilon.$$

Thus

$$\left\| \sum_{n=1}^{\infty} a_n x_n - \sum_{n=1}^{\infty} \sum_{k=1}^{\infty} a_n c_{nk} v_{nk} \right\| \leq \epsilon.$$

and

$$\begin{aligned} \sum_{n=1}^{\infty} \sum_{k=1}^{\infty} a_n c_{nk} &\leq \sum_{n=1}^{\infty} a_n (\varphi_*(x_n) + \epsilon) \\ &\leq \sum_{n=1}^{\infty} a_n \varphi_*(x_n) + \epsilon. \end{aligned}$$

Letting $\epsilon \rightarrow 0$ we obtain the result.

Lemma 5.4. *W is dense in V .*

Proof. Let

$$M = \sup_{x \in C} \|x\|.$$

Fix $n \in \mathbf{N}$ and let

$$W_n = \{x \in C : \varphi_*(x) > 1 - 1/n\}.$$

Then W_n is relatively open in C . We shall show that $W_n \cap V$ is dense in V . Fix $x \in V$ and $\epsilon > 0$.

Choose $\nu > 0$ such that

$$(1 - \nu)^{p/1-p} - \nu > 1 - 1/n.$$

and

$$\nu^p + M^p [\nu^p + (1 - (1 - \nu)^{1/1-p})^p + (1 - (1 - \nu)^{p/1-p})] < \epsilon^p.$$

Since $x \in V$ there exists $y \in C_0$ with

$$\varphi(y) > 1 - \nu$$

and

$$\|x - y\| < \nu.$$

Since $y \in C_0$, there exists τ , $1 < \tau < 1 + \nu$ such that $\tau y \in C_0$ and then we have

$$\varphi_*(\tau y) > 1 - \nu$$

by Lemma 5.2.

Now by Lemma 5.1

$$\tau y = \sum_{m=1}^{\infty} a_m v_m$$

where $v_m \in V$, $a_m \geq 0$ and $\sum a_m^p \leq 1$. Then by Lemma 5.3

$$\sum_{m=1}^{\infty} a_m \varphi_*(v_m) > 1 - \nu$$

and in particular

$$\sum a_m > 1 - \nu.$$

Suppose $a_1 \geq a_2 \geq \dots$; then

$$a_1 > (1 - \nu)^{1/(1-p)}$$

and hence

$$\sum_{m=2}^{\infty} a_m^p < 1 - (1 - \nu)^{p/(1-p)}.$$

Thus

$$\begin{aligned} a_1 \varphi_*(v_1) &> 1 - \nu - \sum_{m=2}^{\infty} a_m \\ &> (1 - \nu)^{p/(1-p)} - \nu. \end{aligned}$$

In particular

$$\varphi_*(v_1) > (1 - \nu)^{p/(1-p)} - \nu$$

so that $v_1 \in W_n$; also

$$\begin{aligned} \|x - v_1\|^p &\leq \|x - y\|^p + \|\tau y - y\|^p + \|\tau y - v_1\|^p \\ &\leq \nu^p + \nu^p M^p + \left((1 - a_1)^p + \sum_{m=2}^{\infty} a_m^p \right) M^p \\ &= \nu^p + M^p [\nu^p + [1 - (1 - \nu)^{1/(1-p)}]^p + 1 - (1 - \nu)^{p/(1-p)}] \\ &< \epsilon^p. \end{aligned}$$

Thus it follows that $W_n \cap V$ is dense in V . Since V is closed in X and $W_n \cap V$ is relatively open in V , we may deduce from the Baire Category Theorem that $(\bigcap_{n=1}^{\infty} W_n) \cap V$ is dense in V i.e., W is dense in V .

Lemma 5.5. $C = \overline{\text{co}_p} W$.

Proof. $\overline{\text{co}_p} W = \overline{\text{co}_p} V \supset C_0$ by Lemma 5.1. Since $\overline{C_0} = C$, we have the result.

The next theorem is our main result of the section, and may be regarded as a p -convex analogue of the characterisation of the Radon-Nikodym property for Banach spaces given by Phelps (9 Theorem 5).

Theorem 5.6. *Let X be a p -convex quasi-Banach space. Then X is p -trivial if and only if every closed bounded p -convex subset of X is the closed p -convex cover of its strongly p -extreme points.*

Proof. Suppose X is not p -trivial and that $T: L_p \rightarrow X$ is a bounded linear operator. Let U be the unit ball of L_p and consider $T(U)$. Suppose x is strongly p -extreme for $T(U)$. Then there exists $f_n \in U$ with $Tf_n \rightarrow x$. However for each f_n we may write (by splitting the interval)

$$f_n = (\tfrac{1}{2})^{1/p} g_n + (\tfrac{1}{2})^{1/p} h_n$$

where $g_n, h_n \in U$. Thus $2^{-1/p} Tg_n + 2^{-1/p} Th_n \rightarrow x$ and so we have a contradiction. Hence $T(U)$ has no strongly p -extreme points.

Conversely suppose X is p -trivial and D is a closed bounded p -convex subset of X . Let S be the set of strongly p -extreme points for D .

Let B be the closed unit ball of X and for $\delta > 0$ let $C = C_\delta = \overline{\text{co}_p} (D \cup \delta B)$. Using the notation of the preceding lemmas, $C = \overline{\text{co}_p} W$. However W is contained in the set T_δ of strongly p -extreme points for C .

Suppose $x \in T_\delta$ and $\|x\| > \delta$. Then there exist $y_n \in D$ and, $w_n \in \delta B$, $0 \leq a_n \leq 1$, such that

$$a_n y_n + (1 - a_n^p)^{1/p} w_n \rightarrow x.$$

Hence $\max(a_n, (1 - a_n^p)^{1/p}) \rightarrow 1$. It is easy to see that since $\|x\| > \delta$ we have $a_n \rightarrow 1$ and hence $x \in D$. This implies that $x \in S$.

Now suppose $z \in D$ and $z \notin \overline{\text{co}_p} S$. Let

$$\delta = \tfrac{1}{2} d(z, \overline{\text{co}_p} S) = \tfrac{1}{2} \inf(\|z - v\| : v \in \overline{\text{co}_p} S).$$

Then since $\lambda \overline{\text{co}_p} S \subset \overline{\text{co}_p} S$ for $0 \leq \lambda \leq 1$, we have $z \notin \overline{\text{co}_p} (S \cup \delta B)$.

However $S \cup \delta B \supset T_\delta$ and hence $z \notin \overline{\text{co}_p} T_\delta$, and we have a contradiction.

Corollary 5.7. *X is p -trivial if and only if every closed bounded p -convex set has a strongly p -extreme point.*

6. Remarks on super-properties

For the purposes of this section we shall restrict our comments to quasi-Banach spaces X which have a quasi-norm which is r -subadditive for some $r > 0$. We say that a quasi-Banach Y is finitely representable in a quasi-Banach space X if given any

$\epsilon > 0$ and any finite-dimensional subspace L of Y there is a subspace M of X with $\dim M = \dim L$ such that there is an isomorphism $T: L \rightarrow M$ with $\|T\| \|T^{-1}\| < 1 + \epsilon$.

If (P) is a property of quasi-Banach spaces, then we say that X has the property *super*-(P) if any space finitely representable in X has property (P) .

Theorem 6.1. *If $0 < p < 1$, the following conditions on X are equivalent:*

- (1) X is *super*- p -trivial.
- (2) ℓ_p is not finitely representable in X .
- (3) X is q -convex for some $q > p$.

Proof. $(2) \Leftrightarrow (3)$ is proved in (7). $(3) \Rightarrow (1)$ is obvious. For $(1) \Rightarrow (2)$ observe that if ℓ_p is finitely representable in X then so is L_p .

The interest in the above theorem is that the analogy with the Radon-Nikodym Property breaks down at this point. Pisier (11) has shown that X has the super-Radon-Nikodym property if and only if X is super-reflexive. An example of James (3) shows that this is not the same as " ℓ_1 is not finitely representable in X " (i.e., X is B -convex).

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From our remarks in the introduction, the class of p -trivial spaces may also be regarded as a generalisation to quasi-Banach spaces of the class of Banach spaces X such that every $T \in \mathcal{L}(L_1, X)$ has the Dunford-Pettis property. This class is strictly larger than the class of spaces with the Radon-Nikodym Property.

The referee also calls our attention to a paper of W. Fischer and U. Scholer (13) who study a (different) generalisation of the Radon-Nikodym Property in quasi-Banach spaces. It is not clear at present how their work relates to the content of this paper.

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