

# The $M$ -ideal structure of some algebras of bounded linear operators

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(MS received 27 August 1993)

Let  $1 < p, q < \infty$ . It is shown for complex scalars that there are no nontrivial  $M$ -ideals in  $\mathcal{L}(L_p[0, 1])$  if  $p \neq 2$ , and  $\mathcal{K}(l_p(l_q^n))$  is the only nontrivial  $M$ -ideal in  $\mathcal{L}(l_p(l_q^n))$ .

## 1. Introduction

A subspace  $J$  of a Banach space  $X$  is called an  $M$ -ideal if there is an  $l_1$ -direct decomposition of the dual space  $X^*$  of  $X$  into the annihilator  $J^\perp$  of  $J$  and some subspace  $V \subset X^*$ :

$$X^* = J^\perp \oplus_1 V;$$

it is called nontrivial if  $\{0\} \neq J \neq X$ . This notion was introduced by Alfsen and Effros [1] and has proved useful in Banach space geometry, approximation theory and harmonic analysis; see [12] for a detailed account.

A number of authors have studied the  $M$ -ideal structure in  $\mathcal{L}(X)$ , the space of bounded linear operators on a Banach space  $X$ , with special emphasis on the question whether  $\mathcal{K}(X)$ , the subspace of compact operators, is an  $M$ -ideal; see for instance [3, 7–11, 13, 14, 16–18, 21, 22, 24] or [12, Chap. VI]. In particular, we mention the facts that, for a Hilbert space  $H$ , the  $M$ -ideals of  $\mathcal{L}(H)$  coincide with its closed two-sided ideals [20] and that, for a subspace  $X$  of  $l_p$ ,  $\mathcal{K}(X)$  is an  $M$ -ideal in  $\mathcal{L}(X)$  if and only if  $X$  has the metric compact approximation property [7].

In this paper we show that in many cases the ideal of compact operators is the only candidate for an  $M$ -ideal in  $\mathcal{L}(X)$ . For  $X = l_p$ , this was done by Flinn [9]. For the function space  $L_p = L_p[0, 1]$ , it has long been known that the compact operators do not form an  $M$ -ideal if  $p \neq 2$  ([14] or, for another approach, [17]); in [12, p. 252] the problem is posed to determine the  $M$ -ideal structure of  $\mathcal{L}(L_p)$  completely. This is done in Section 2, where we prove that there are no nontrivial  $M$ -ideals in the algebra of operators on the complex space  $L_p$ ,  $p \neq 1, 2, \infty$ . In Section 3 we study the  $M$ -ideals in  $\mathcal{L}(l_p(l_q^n))$ , for  $1 < p, q < \infty$ . We show, for complex spaces again, that here the compact operators form the only  $M$ -ideal, thus proving a conjecture of Cho and

Johnson [8]. (Here, as usual,  $l_p(E_n)$  denotes the  $l_p$ -direct sum of the Banach spaces  $E_n$ , i.e.  $l_p(E_n)$  consists of all sequences of vectors  $x_n \in E_n$  such that  $\|(x_n)\| = (\sum \|x_n\|^p)^{1/p} < \infty$ .)

For our arguments we need the notion of the (spatial) numerical range of an operator  $T$  on a complex Banach space  $E$ . This is the set

$$V(T) = \{x^*(Tx) : \|x^*\| = \|x\| = x^*(x) = 1\}.$$

The operator  $T$  is called hermitian if  $V(T) \subset \mathbb{R}$ . It is a well-known fact that an operator on  $L_p$  for  $p \neq 2$  is hermitian if and only if it is a multiplication operator, i.e.  $Tf = hf$  for some real-valued  $h \in L_\infty$ . (See [4] and [5] for details.)

## 2. $M$ -ideals of operators on $L_p[0, 1]$

To prove the main result of this section, Theorem 2.4, we need the following lemmas.

**LEMMA 2.1.** *For all  $\varepsilon > 0$ , there is some  $\delta > 0$  such that whenever  $A$  and  $B$  are operators on a complex Banach space satisfying  $\|\sin A\| \leq 1 - \delta$ ,  $\|\sin B\| \leq 1$ ,  $\arcsin(\sin A) = A$ ,  $\arcsin(\sin B) = B$  and  $\|\sin A - \sin B\| \leq \delta$ , then  $\|A - B\| \leq \varepsilon$ . The condition  $\arcsin(\sin A) = A$  holds if  $V(A) \subset R := [-0.1, 1.1] \times [-0.1, 0.1]i$ .*

*Proof.* Consider the power series expansion

$$\arcsin z = \sum_{k=1}^{\infty} c_k z^k, \quad |z| \leq 1,$$

in which all  $c_k \geq 0$ . Since  $\sin A$  is contractive, the series  $\sum c_k (\sin A)^k$  converges, and the operator  $\arcsin(\sin A)$  is defined (likewise for  $B$ ).

Write  $v = \sin A$ ,  $u = \sin B$ ,  $w = u - v$ . By the uniform continuity of the arcsin-function on  $[0, 1]$ , we conclude for sufficiently small  $\delta$  that

$$\begin{aligned} \|B - A\| &= \|\arcsin(v + w) - \arcsin v\| \\ &= \left\| \sum_{k=1}^{\infty} c_k ((v + w)^k - v^k) \right\| \\ &\leq \sum_{k=1}^{\infty} c_k \sum_{l=0}^{k-1} \binom{k}{l} \|v\|^l \|w\|^{k-l} \\ &= \sum_{k=1}^{\infty} c_k ((\|v\| + \|w\|)^k - \|v\|^k) \\ &= \arcsin(\|v\| + \|w\|) - \arcsin \|v\| \\ &\leq \varepsilon, \end{aligned}$$

if  $\|w\| = \|\sin A - \sin B\| \leq \delta$ .

We finally discuss the numerical range condition. If  $V(A) \subset R$ , then the spectrum  $\sigma(A)$  is also contained in  $R$  [4, p. 19]. Now  $\sin R$  is a subset of the open unit disk. Hence  $\arcsin(\sin z) = z$  for  $z \in \sigma(A) \subset R$ , and we deduce by the functional calculus that  $\arcsin(\sin A) = A$ .  $\square$

**LEMMA 2.2.** *Let  $X$  be a Banach space,  $\mathcal{J} \subset \mathcal{L}(X)$  a two-sided ideal and  $P$  a projection onto a complemented subspace  $E$  of  $X$  which is isomorphic to  $X$ .*

(a) If  $P \in \mathcal{J}$ , then  $\mathcal{J} = \mathcal{L}(X)$ .

(b) If  $E$  is  $C$ -isomorphic with  $X$  and  $\mathcal{J}$  contains an operator  $T$  with  $\|T - P\| < (C\|P\|)^{-1}$ , then  $\mathcal{J} = \mathcal{L}(X)$ .

*Proof.* (a) Let  $j: E \rightarrow X$  denote the canonical embedding and let  $\Phi: E \rightarrow X$  denote an isomorphism. Then  $Id = (\Phi P)(j\Phi^{-1}) \in \mathcal{J}$ .

(b) We retain the above notation and let  $S = (\Phi P)T(j\Phi^{-1}) \in \mathcal{J}$ . Then, if  $\|\Phi\| \|\Phi^{-1}\| \leq C$ ,

$$\begin{aligned} \|S - Id\| &\leq \|\Phi\| \|P\| \|T - P\| \|\Phi^{-1}\| \\ &\leq C\|P\| \|T - P\| < 1; \end{aligned}$$

thus  $S$  is invertible and  $Id = SS^{-1} \in \mathcal{J}$ .  $\square$

**LEMMA 2.3.** Let  $1 \leq p < \infty$  and let  $\varphi$  and  $\varphi'$  be bimeasurable bijective transformations on  $[0, 1]$  and  $\lambda$  and  $\lambda'$  be measurable functions on  $[0, 1]$ . Suppose  $I: f \mapsto \lambda \cdot f \circ \varphi$  and  $J: f \mapsto \lambda' \cdot f \circ \varphi'$  are two isometric isomorphisms on  $L_p$  such that  $\{\varphi \neq \varphi'\}$  has positive measure. Then  $\|I - J\| \geq 2^{1/p}$ .

*Proof.* It is enough to prove this for  $J = Id$ , that is, for  $\lambda' = 1$  and  $\varphi'(\omega) = \omega$ . By Lusin's theorem,  $\{\omega: \varphi(\omega) \neq \omega\}$  contains a compact set  $C$  of positive measure such that  $\varphi|_C$  is continuous. A compactness argument now yields some compact subset  $A \subset C$  of positive measure such that  $\varphi(A) \cap A = \emptyset$ . Pick  $\alpha$  so that  $f = \alpha \chi_{\varphi(A)}$  has norm 1. Then  $\|If\| = 1$ ,  $If$  and  $f$  have disjoint supports and thus  $\|If - f\| = 2^{1/p}$ .  $\square$

We now state and prove the main theorem of this section.

**THEOREM 2.4.** If  $1 < p < \infty$  and  $p \neq 2$ , then there are no nontrivial  $M$ -ideals in  $\mathcal{L}(L_p([0, 1], \mathbb{C}))$ .

*Proof.* Let  $\{0\} \neq \mathcal{J} \subset \mathcal{L}(L_p)$  be an  $M$ -ideal. Since  $L_p$  and its dual are uniformly convex,  $\mathcal{J}$  is a two-sided ideal [8]. We shall show that  $Id \in \mathcal{J}$ , thus proving our claim that  $\mathcal{J} = \mathcal{L}(L_p)$ .

In fact, by Lemma 2.2 it is enough to show that some characteristic projection  $P_A: f \mapsto \chi_A f$ , for some Borel set of positive measure, is in  $\mathcal{J}$ . This suffices because then  $L_p(A)$  is isometrically isomorphic to  $L_p[0, 1]$  [19, p. 321].

We now let  $0 < \eta < 0.1$  be a small number. (It will become clear in due course how small  $\eta$  should actually be.) By [12, Th. V.5.4]  $\mathcal{J}$  contains an operator  $T$  with  $\|T\| = 1$  and  $V(T) \subset [-\eta, 1 + \eta] \times [-\eta, \eta]i$ . We are going to show that this 'almost' hermitian operator  $T$  is close to an hermitian operator  $f \mapsto hf$ ; and we eventually prove that  $P_A \in \mathcal{J}$  for  $A = \{h \geq \frac{1}{2}\}$ .

We first apply [4, Theorem 4, p. 28] to obtain that

$$\|e^{itT}\| \leq 1 + \alpha|t| \quad \forall |t| \leq 1,$$

where  $\alpha = \alpha(\eta) \rightarrow 0$  as  $\eta \rightarrow 0$ . That is, for small enough  $\eta$  and  $|t| \leq 1$ , the operators  $e^{itT}$  are small bound isomorphisms:

$$\frac{1}{1 + \alpha} \|f\| \leq \|e^{itT}f\| \leq (1 + \alpha) \|f\|.$$

By a result due to Alspach [2],  $e^{itT}$  is close to an isometric isomorphism  $I_t$ :

$$\|e^{itT} - I_t\| \leq \beta,$$

where  $\beta = \beta(\eta) \rightarrow 0$  as  $\alpha(\eta) \rightarrow 0$ . But the isometric isomorphisms on  $L_p$ ,  $p \neq 2$ , are known to have the form

$$I_t f = \lambda_t \cdot f \circ \varphi_t$$

for some measurable function  $\lambda_t$  and some bimeasurable bijection  $\varphi_t$  [19, p. 333]. Note that by Lemma 2.3  $\|I_t - I_s\| \geq 2^{1/p}$  if  $\{\varphi_s \neq \varphi_t\}$  has positive measure. This and the fact that  $(e^{itT})_{t \in \mathbb{R}}$  is a uniformly continuous group of operators show that  $\varphi_t = \varphi_0$  for  $|t| \leq 1$  provided  $\eta$  is small enough, and we must have that  $\varphi_0(\omega) = \omega$  since  $e^{itT} = Id$  for  $t = 0$ . Furthermore, this enforces  $|\lambda_t| = 1$  a.e. Writing  $\lambda_t = e^{ih_t}$ ,  $-\pi \leq h_t \leq \pi$ , and  $M_t$  for the multiplication operator  $f \mapsto h_t f$ , we finally obtain

$$\|e^{itT} - e^{iM_t}\| \leq \beta$$

for  $|t| \leq 1$ .

Fix  $t = \frac{1}{2}$ . By continuity of inversion we may assume in addition that

$$\|e^{-iT/2} - e^{-iM_{1/2}}\| \leq \beta,$$

where  $\beta = \beta(\eta) \rightarrow 0$  as  $\eta \rightarrow 0$ . Consequently

$$\|\sin T/2 - \sin M_{1/2}\| \leq \beta.$$

If  $B$  denotes the operator of multiplication with  $\arcsin(\sin h_{1/2})$ , then  $\sin B = \sin M_{1/2}$ ,  $\arcsin(\sin B) = B$  and  $\|\sin B\| \leq 1$ . Also,

$$\|\sin T/2\| \leq \sum_{k=0}^{\infty} \frac{1}{(2k+1)!} \left\| \frac{T}{2} \right\|^{2k+1} \leq \sinh \frac{1}{2} < 1.$$

According to Lemma 2.1 we have for the multiplication operator  $M := 2B$ , say  $f \mapsto hf$ ,

$$\|T - M\| \leq \gamma,$$

where  $\gamma = \gamma(\eta) \rightarrow 0$  as  $\eta \rightarrow 0$ . Since  $\|T\| = 1$ , it follows that  $A = \{h \geq \frac{1}{2}\}$  has positive measure if  $\eta$  is sufficiently small.

Now consider  $P_A \mathcal{J} P_A$ , which can isometrically be identified with a subspace of  $\mathcal{L}(L_p(A))$ . It is straightforward to check that it is an  $M$ -ideal and thus a two-sided ideal. Moreover, since  $\|P_A T P_A - P_A M P_A\| \leq \gamma$  and  $M|_{L_p(A)}$  is invertible with an inverse of norm  $\leq 2$ , it follows that  $P_A T P_A$  is invertible in  $L_p(A)$  once  $\gamma < \frac{1}{2}$ . Therefore the ideal  $P_A \mathcal{J} P_A$  coincides with  $L_p(A)$ . This implies  $P_A \in \mathcal{J}$ , as requested.  $\square$

In the case  $p = 2$ , the  $M$ -ideals and the closed two-sided ideals of  $\mathcal{L}(L_2)$  coincide, as already mentioned. Therefore the ideal of compact operators is the only nontrivial  $M$ -ideal in  $\mathcal{L}(L_2[0, 1])$ . For the  $M$ -ideals in  $\mathcal{L}(L_1)$  and  $\mathcal{L}(L_\infty)$ , see [24].

For future reference, we record the following result established in the preceding proof.

**PROPOSITION 2.5.** *Let  $1 \leq p < \infty$ ,  $p \neq 2$ . For all  $\gamma > 0$ , there is some  $\eta > 0$  such that, whenever  $X$  is a complex  $L_p(\mu)$ -space and  $T \in \mathcal{L}(X)$  satisfies  $V(T) \subset [-\eta, 1 + \eta] \times [-\eta, \eta]i$ , then there is some hermitian operator  $M$  satisfying  $\|T - M\| \leq \gamma$ .*

### 3. $M$ -ideals of operators on $l_p(l_q^n)$

In this section, we shall primarily deal with the space  $l_p(l_q^n)$ ,  $1 < p, q < \infty$ . It is known (e.g. [14]) that the compact operators form an  $M$ -ideal in  $\mathcal{L}(l_p(l_q^n))$ , and in [8] Cho and Johnson exhibit an ideal in  $\mathcal{L}(l_p(l_q^n))$  that fails to be an  $M$ -ideal. Actually, they conjecture that the compact operators are the only  $M$ -ideal. Here we offer a proof of this conjecture in the case of complex scalars.

The following lemma will turn out to be useful; for related results see [6].

LEMMA 3.1. *There is a constant  $C$  such that, whenever  $(k_n)$  is a sequence of positive integers with  $\limsup k_n = \infty$ , then  $l_p(l_q^n)$  is  $C$ -isomorphic to  $l_p(l_q^{k_n})$ .*

*Proof.* Let  $E_1, E_2, \dots$  be an enumeration of the  $l_q^n$ -spaces in which each  $l_q^n$ -space is repeated infinitely often, and let  $X = l_p(E_n)$ ,  $Y = l_p(l_q^{k_n})$ . It is enough to show that  $X$  is  $C'$ -isomorphic to  $Y$  for some universal constant  $C'$ . This follows immediately from an application of Pełczyński's decomposition method [15, p. 54], since there are subspaces  $U \subset X$ ,  $V \subset Y$  and natural isometric isomorphisms  $X \cong l_p(X)$ ,  $X \cong Y \oplus_p U$ ,  $Y \cong X \oplus V$ . Note that  $X$  and  $V$  are in fact complemented by contractive projections, so  $Y$  is 4-isomorphic to  $X \oplus_p V$ . Thus the decomposition scheme yields the desired isomorphism with  $C' = 16$ .  $\square$

Now for a technical lemma.

LEMMA 3.2. *Let  $1 < p < \infty$  and let  $(E_n)$  be a sequence of finite-dimensional Banach spaces. Let  $P_k$  denote the canonical finite-rank projection from  $l_p(E_n)$  onto  $E_k$ . Suppose  $T \in \mathcal{L}(l_p(E_n))$  satisfies  $\sup_k \|P_k T P_k\| \leq \varepsilon$  for some  $\varepsilon > 0$ . Then there is a subsequence  $(k_n)$  of the positive integers such that the inequality*

$$\|PTP\| \leq 3\varepsilon$$

*holds for the canonical projection  $P$  from  $l_p(E_n)$  onto  $l_p(E_{k_n})$ .*

*Proof.* We first establish two claims.

Claim 1. For all  $\beta > 0$  and all  $k \in \mathbb{N}$ , there exists  $m_1 \in \mathbb{N}$  such that, for all  $m \geq m_1$ ,

$$\left\| \sum_{l \geq m} P_l T P_k \right\| \leq \beta.$$

This holds since  $(\sum_{l \geq m} P_l)_m$  is uniformly bounded, converges to 0 pointwise and thus converges to 0 uniformly on the compact set  $TP_k(B)$ , where  $B$  denotes the unit ball of  $l_p(E_n)$ .

Claim 2. For all  $\beta > 0$  and all  $k \in \mathbb{N}$ , there exists  $m_2 \in \mathbb{N}$  such that, for all  $m \geq m_2$ ,

$$\|P_k T P_m\| \leq \beta.$$

This holds since  $P_m^* \rightarrow 0$  pointwise and thus  $\|P_k T P_m\| = \|P_m^* T^* P_k\| \rightarrow 0$ .

We now construct the desired sequence inductively. We put  $k_1 = 1$ . Suppose  $k_1, \dots, k_n$  have already been constructed. We apply Claim 1 with  $k = k_n$ ,  $\beta = \varepsilon/2^n$ , and we pick  $k_{n+1} \geq m_1$  so that

$$\sum_{j=1}^n \|P_{k_j} T P_{k_{n+1}}\| \leq \frac{\varepsilon}{2^{n+1}},$$

which is possible by Claim 2. Then the resulting sequence  $(k_n)$  satisfies

$$\left\| \sum_{j>n} P_{k_j} TP_{k_n} \right\| \leq \left\| \sum_{l \geq k_{n+1}} P_l TP_{k_n} \right\| \leq \frac{\varepsilon}{2^n}.$$

Furthermore, by assumption on  $T$ ,

$$\left\| \sum_{n=1}^{\infty} P_{k_n} TP_{k_n} \right\| = \sup_n \|P_{k_n} TP_{k_n}\| \leq \varepsilon,$$

since  $\sum_n P_{k_n} TP_{k_n}$  is a block-diagonal operator. Therefore,

$$\begin{aligned} \|PTP\| &\leq \sum_{n=1}^{\infty} \sum_{j<n} \|P_{k_j} TP_{k_n}\| + \left\| \sum_{n=1}^{\infty} P_{k_n} TP_{k_n} \right\| + \sum_{n=1}^{\infty} \left\| \sum_{j>n} P_{k_j} TP_{k_n} \right\| \\ &\leq \sum_{n=1}^{\infty} \frac{\varepsilon}{2^n} + \varepsilon + \sum_{n=1}^{\infty} \frac{\varepsilon}{2^n} \\ &= 3\varepsilon. \quad \square \end{aligned}$$

**THEOREM 3.3.** *Consider the complex Banach space  $l_p(l_q^n)$ ,  $1 < p, q < \infty$ . Then  $\mathcal{K}(l_p(l_q^n))$  is the only  $M$ -ideal in  $\mathcal{L}(l_p(l_q^n))$ .*

*Proof.* We have already pointed out that  $\mathcal{K}(l_p(l_q^n))$  is an  $M$ -ideal. Moreover, it follows e.g. from [11, Theorem 4.4] that an  $M$ -ideal  $\mathcal{J} \neq \{0\}$  contains  $\mathcal{K}(l_p(l_q^n))$ , and since  $l_p(l_q^n)$  and its dual are uniformly convex, we know from [8] that an  $M$ -ideal in  $\mathcal{L}(l_p(l_q^n))$  must be a two-sided ideal.

Let us now assume that  $\mathcal{J}$  is an  $M$ -ideal in  $\mathcal{L}(l_p(l_q^n))$  strictly containing  $\mathcal{K}(l_p(l_q^n))$ . We have to prove that  $\mathcal{J} = \mathcal{L}(l_p(l_q^n))$ . We first claim that an operator  $T \in \mathcal{L}(l_p(l_q^n))$  factoring through  $l_p$  belongs to  $\mathcal{J}$ . In fact, let us write  $T = T_2 T_1$  with  $T_1 \in \mathcal{L}(l_p(l_q^n), l_p)$  and  $T_2 \in \mathcal{L}(l_p, l_p(l_q^n))$ . Now  $\mathcal{J}$  contains a noncompact operator  $S$ . The proof of [15, Proposition 2.c.3] shows that  $S$  acts as an isomorphism on a complemented copy  $E$  of  $l_p$ , and  $F = S(E)$  is complemented, too. Let  $\pi: l_p(l_q^n) \rightarrow E$  and  $\sigma: l_p(l_q^n) \rightarrow F$  denote projections. Then  $\pi = (S^{-1}\sigma)S\pi \in \mathcal{J}$ , and letting  $\Phi: l_p \rightarrow E$  denote an isomorphism, we see that  $T = T_2 T_1 = T_2 \Phi^{-1} \pi \Phi T_1 \in \mathcal{J}$ .

Next we observe that it is enough to prove the theorem under the assumption that  $q \leq p$ ; the remaining case  $q > p$  then follows by duality. We may also assume that  $q \neq 2$ . Indeed,  $l_p(l_2^n)$  is known to be isomorphic to a complemented subspace of  $l_p$  and thus isomorphic to  $l_p$  itself. But the compact operators are the only closed two-sided ideal in  $\mathcal{L}(l_p)$  (see the above claim); hence  $\mathcal{K}(l_p(l_2^n))$  is the only  $M$ -ideal in  $\mathcal{L}(l_p(l_2^n))$ , since every  $M$ -ideal is an ideal. So we suppose that  $q \leq p$  and  $q \neq 2$  in the remainder of the proof.

We need some notation. We denote the unit vectors in  $l_p(l_q^n)$  by  $e_{kl}$ ,  $k \in \mathbb{N}$ ,  $1 \leq l \leq n$ . It is clear that  $E_1 = \overline{\text{lin}} \{e_{k1} : k \in \mathbb{N}\}$  is isometric to  $l_p$ . If  $q \leq p$ , then the identity map from  $l_q^n$  to  $l_p^n$  is contractive; thus there is a natural operator  $A$  mapping  $l_p(l_q^n)$  to  $l_p(l_p^n) \cong l_p \cong E_1$ . We can think of this operator  $A$  as an element of  $\mathcal{L}(l_p(l_q^n))$ , and by construction  $A$  factors through  $l_p$ . Consequently  $A \in \mathcal{J}$ ,  $\|A\| = 1$ , and also  $\|Ae_{kl}\| = 1$  for all  $k$  and  $l$ .

At this stage we invoke a result from [23] saying that there is a net  $(H_\alpha) \subset \mathcal{J}$

converging to  $Id$  in the  $\sigma(\mathcal{L}, \mathcal{J}^*)$ -topology such that

$$\limsup \| \pm A + (Id - H_\alpha) \| = 1. \tag{3.1}$$

An application of [12, Theorem V.5.4] and a convex combinations technique described there allows us to assume in addition that the numerical ranges  $V(H_\alpha)$  are contained in small rectangles  $R_\alpha = [-\eta_\alpha, 1 + \eta_\alpha] \times [-\eta_\alpha, \eta_\alpha]i$ , with  $\eta_\alpha \rightarrow 0$ . We denote the canonical injection of  $l_q^k$  into  $l_p(l_q^n)$  by  $j_k$  and the projection from  $l_p(l_q^n)$  onto  $l_q^k$  by  $P_k$ . For each  $k$ ,  $V(P_k(Id - H_\alpha)j_k) \subset R_\alpha$ ; and therefore, by Proposition 2.5, we conclude that, given  $\varepsilon > 0$ , for large enough  $\alpha$  all the operators  $P_k(Id - H_\alpha)j_k$ ,  $k = 1, 2, \dots$ , differ from hermitian operators  $M_{\alpha k}: l_q^k \rightarrow l_q^k$  by less than  $\varepsilon/3$ . Also, from (3.1) and the uniform convexity of  $l_p(l_q^n)$  we infer that  $(Id - H_\alpha)e_{kl} \rightarrow 0$  (since  $\|Ae_{kl}\| = 1$ ), uniformly in  $k$  and  $l$ . From this we deduce for large enough  $\alpha$  that  $\|M_{\alpha k}(e_{kL})\| \leq \frac{2}{3}\varepsilon$  for all  $k$  and  $l$  and thus, since the  $M_{\alpha k}$  are hermitian (i.e. multiplication operators),  $\|M_{\alpha k}\| \leq \frac{2}{3}\varepsilon$  for all  $k$ . This means, for large enough  $\alpha$  and  $H = H_\alpha$ , that

$$\|P_k(Id - H)P_k\| \leq \|P_k(Id - H)j_k\| \leq \varepsilon, \quad \forall k \in \mathbb{N}.$$

Hence  $Id - H$  meets the assumption of Lemma 3.2. That lemma provides us with a sequence  $(k_n)$  such that, for the canonical projection  $P$  from  $l_p(l_q^n)$  onto  $l_p(l_q^{k_n})$ ,

$$\|P - PHP\| = \|P(Id - H)P\| \leq 3\varepsilon.$$

Since  $PHP \in \mathcal{J}$ , an appeal to Lemmas 2.2(b) and 3.1 finishes the proof of Theorem 3.3 provided we have chosen  $\varepsilon < 1/(3C)$ , where  $C$  is the constant appearing in Lemma 3.1.  $\square$

A similar, but technically simpler argument yields the following result.

**PROPOSITION 3.4.** *Let  $1 < p < \infty$ , and let  $X$  be a uniformly convex Banach space isomorphic to a subspace of  $l_p$ . Suppose  $\mathcal{J} \subset \mathcal{L}(X)$  is a closed ideal strictly containing  $\mathcal{K}(X)$ . If  $\mathcal{J}$  is an  $M$ -ideal, then  $\mathcal{J} = \mathcal{L}(X)$ .*

*Proof.* As in the proof of Theorem 3.3, we argue that  $\mathcal{J}$  contains all  $l_p$ -factorable operators. Since  $X$  embeds into  $l_p$ ,  $X$  contains a subspace isomorphic to  $l_p$ . Let  $\Psi: X \rightarrow l_p$  and  $\Phi: l_p \rightarrow X$  denote (into-)isomorphisms, and let  $A = \Phi\Psi$ . Then  $A$  factors through  $l_p$ , and hence  $A \in \mathcal{J}$ .

We may assume that  $\|A\| = 1$ . There is some  $\varepsilon > 0$  such that  $\varepsilon\|x\| \leq \|Ax\|$  for all  $x \in X$ . Again, there is a net  $(H_\alpha) \subset \mathcal{J}$  such that

$$\limsup \| \pm A + (Id - H_\alpha) \| = 1.$$

If  $\alpha$  is large enough and  $H = H_\alpha$ , we have by uniform convexity for some  $\delta > 0$  that  $\|(Id - H)x\| \leq 1 - \delta$  whenever  $\|x\| = 1$ . Hence  $\|Id - H\| < 1$ , and  $\mathcal{J}$  contains an invertible element. This proves that  $\mathcal{J} = \mathcal{L}(X)$ .  $\square$

We recall that  $\mathcal{K}(X)$  is an  $M$ -ideal in  $\mathcal{L}(X)$  if  $X$  is isometric to a subspace of  $l_p$  and has the metric compact approximation property.

We finally mention some problems suggested by our work.

(1) The results in Sections 2 and 3 support the conjecture that  $\mathcal{K}(X)$  is the only candidate for an  $M$ -ideal in  $\mathcal{L}(X)$  if  $X$  is isometric to a subspace of  $L_p$ . Does this conjecture hold?

(2) In the other direction, it would be interesting to find an example of a uniformly

convex Banach space  $X$  and an ideal  $\mathcal{K}(X) \neq \mathcal{J} \subset \mathcal{L}(X)$  which is a nontrivial  $M$ -ideal. It is also still open whether, for a uniformly convex Banach space  $X$ , every  $M$ -ideal in  $\mathcal{L}(X)$  is a two-sided ideal. (An  $M$ -ideal is known to be a left ideal if  $X$  is uniformly convex [8].)

### Acknowledgment

This work was done while the second-named author was visiting the University of Missouri, Columbia. It is his pleasure to express his gratitude to all those who made this stay possible.

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